

DECLARATION FOR NON-PROVISIONAL PATENT APPLICATION*

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

MUIR-TORRE-LIKE SYNDROME IN Fhit DEFICIENT MICE

and for which a patent application:

☐ is attached hereto

☒ was filed in the United States on April 11, 2001 as Application No. 09/832,424 (for declaration not accompanying application)

with amendment(s) filed on (if applicable)

☐ was filed as PCT international Application No. on and was amended under PCT Article 19 on (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION			
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
			YES <input type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.



PROVISIONAL APPLICATION NUMBER	FILING DATE
60/196,534	April 11, 2000

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

NON-PROVISIONAL APPLICATION SERIAL NO.	FILING DATE	STATUS		
		PATENTED	PENDING	ABANDONED

* for use only when the application is assigned to a company, partnership or other organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

2 0 1	FULL NAME OF INVENTOR	LAST NAME Croce	FIRST NAME Carlo	MIDDLE NAME M.	
	RESIDENCE & CITIZENSHIP	CITY Philadelphia	STATE OR FOREIGN COUNTRY Pennsylvania	COUNTRY OF CITIZENSHIP United States	
	POST OFFICE ADDRESS	STREET 1829 Delancy Street	CITY Philadelphia	STATE OR COUNTRY Pennsylvania	ZIP CODE 19103
	SIGNATURE OF INVENTOR 201 			DATE 12/10/2007	
2 0 2	FULL NAME OF INVENTOR	LAST NAME Huebner	FIRST NAME Frances	MIDDLE NAME Kay	
	RESIDENCE & CITIZENSHIP	CITY Philadelphia	STATE OR FOREIGN COUNTRY Pennsylvania	COUNTRY OF CITIZENSHIP United States	
	POST OFFICE ADDRESS	STREET 1829 Delancy Street	CITY Philadelphia	STATE OR COUNTRY Pennsylvania	ZIP CODE 19103
	SIGNATURE OF INVENTOR 202 			DATE 12/7/01	



Express Mail No.: EL 477 033 712 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Croce and Huebner

Application No.: 09/832,424

Group Art Unit: 1645

Filed: April 11, 2001

Examiner: To be assigned

For: MUIR-TORRE-LIKE SYNDROME IN FHIT
DEFICIENT MICE

Attorney Docket No.: 8666-009

REQUEST UNDER 37 C.F.R. §1.27(a) TO ESTABLISH SMALL ENTITY STATUS

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

It is respectfully requested that the above-identified patent application be accorded Small Entity Status in view of the Verified Statement Claiming Small Entity Status form (hereinafter the "Verified Statement") which is submitted herewith. The Verified Statement has been executed by Alan B. Kelly, Counsel for Thomas Jefferson University on December 10, 2001, and declares Thomas Jefferson University, the sole assignee of the above-identified application, qualifies as a small business concern under 37 C.F.R. § 1.27(a)(3).

Respectfully submitted,

Date: January 10, 2002

Adriane M. Antler 32,605
Adriane M. Antler (Reg. No.)

By:

Muna Abu-Shaar

Muna Abu-Shaar
Limited Recognition Under 37 C.F.R. § 10.9(b)
Copy of Certificate Enclosed

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosures



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☒ Application of: Croce *et al.*
☐ Patent of:

☒ Application No.: 09/832,424
☐ Patent No.:

Group Art Unit: 1645

☒ Filed: April 11, 2001
☐ Issued:

Examiner: To be assigned

For: MUIR-TORRE-LIKE SYNDROME IN FHIT
DEFICIENT MICE

Attorney Docket No.: 8666-009

**VERIFIED STATEMENT (DECLARATION) CLAIMING
SMALL ENTITY STATUS [37 CFR 1.27(a)(3)] - Nonprofit Organization**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of organization Thomas Jefferson University
Address of organization 1020 Walnut Street
Philadelphia, Pennsylvania 19107

Type of organization

- ☒ University or other institution of higher education
- ☐ Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))
- ☐ Nonprofit scientific or educational under statute of state of the United States of America
(Name of state _____)
(Citation of statute _____)
- ☐ Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)) if located in the United States of America.
- ☐ Would qualify as nonprofit scientific or educational under statute of state of the United States of America if located in the United States of America
(Name of state _____)
(Citation of statute _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.27(a)(3) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled MUIR-TORRE-LIKE SYNDROME IN FHIT DEFICIENT MICE by inventors Carlo M. Croce and Frances K. Huebner described in

- ☐ the specification filed herewith
- ☒ application no. 09/832,424 filed April 11, 2001
- ☐ patent no. _____ issued

If the rights held by the above identified nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 C.F.R. § 1.27(a)(1) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.27(a)(2), or a nonprofit organization under 37 C.F.R. § 1.27(a)(3).

FULL NAME _____
ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____
ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

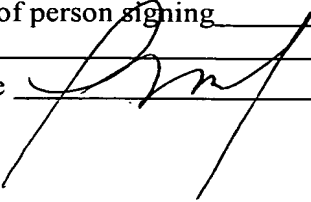
FULL NAME _____
ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 C.F.R. § 1.27(g)(2)]

I acknowledge that (1) any attempt to fraudulently (a) establish status as a small entity or (b) pays fees as a small entity or (2) improperly and with intent to deceive (a) establishing status as a small entity or (b) paying fees as a small entity shall be considered as a fraud practiced or attempted on the Patent and Trademark Office. [37 C.F.R. § 1.27(h)(1) and (2)]

Send correspondence to: PENNIE & EDMONDS LLP Direct Telephone calls to:
1155 Avenue of the PENNIE &
Americas EDMONDS LLP
New York, N.Y. 10036-2711 (212) 790-9090

Name of person signing Alan B. Kelly, Esq.
Title of person other than owner University Counsel
Address of person signing 1020 Walnut Street
Pennsylvania, Pennsylvania 19107
Signature  Date 12/10/01



POWER OF ATTORNEY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Croce *et al.*

Application No.: 09/832,424

Group Art Unit: 1645

Filed: April 11, 2001

Examiner: To Be Assigned

For: MUIR-TORRE-LIKE SYNDROME IN FHIT
DEFICIENT MICE

Attorney Docket No.: 8666-009

POWER OF ATTORNEY BY ASSIGNEE AND EXCLUSION OF INVENTOR(S) UNDER 37 C.F.R. 3.71

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints: Berj A. Terzian (Reg. No. 20060), David Weild, III (Reg. No. 21094), Jonathan A. Marshall (Reg. No. 24614), Barry D. Rein (Reg. No. 22411), Stanton T. Lawrence, III (Reg. No. 25736), Charles E. McKenney (Reg. No. 22795), Philip T. Shannon (Reg. No. 24278), Francis E. Morris (Reg. No. 24615), Charles E. Miller (Reg. No. 24576), Gidon D. Stern (Reg. No. 27469), John J. Lauter, Jr. (Reg. No. 27814), Brian M. Poissant (Reg. No. 28462), Brian D. Coggio (Reg. No. 27624), Rory J. Radding (Reg. No. 28749), Stephen J. Harbulak (Reg. No. 29166), Donald J. Goodell (Reg. No. 19766), Thomas E. Friebe (Reg. No. 29258), Laura A. Coruzzi (Reg. No. 30742), Jennifer Gordon (Reg. No. 30753), Geraldine F. Baldwin (Reg. No. 31232), Victor N. Balancia (Reg. No. 31231), Samuel B. Abrams (Reg. No. 30605), Steven I. Wallach (Reg. No. 35402), Marcia H. Sundeen (Reg. No. 30893), Paul J. Zegger (Reg. No. 33821), Edmond R. Bannon (Reg. No. 32110), Bruce J. Barker (Reg. No. 33291), Adriane M. Antler (Reg. No. 32605), Thomas G. Rowan (Reg. No. 34419), James G. Markey (Reg. No. 31636), Thomas D. Kohler (Reg. No. 32797), Scott D. Stimpson (Reg. No. 33607), Gary S. Williams (Reg. No. 31066), Ann L. Gisolfi (Reg. No. 31956), Todd A. Wagner (Reg. No. 35399), Scott B. Familant (Reg. No. 35514), Kelly D. Talcott (Reg. No. 39582), Francis D. Cerrito (Reg. No. 38100), Anthony M. Insogna (Reg. No. 35203), Brian M. Rothery (Reg. No. 35340), Brian D. Siff (Reg. No. 35679), Alan Tenenbaum (Reg. No. 34939), Michael J. Lyons (Reg. No. 37386), Garland T. Stephens (Reg. No. 37242), William

POWER OF ATTORNEY

J. Sipio (Reg. No. 34514), Nikolaos C. George (Reg. No. 39201), Stephen S. Rabinowitz (Reg. No. 40286), Ognjan V. Shentov (Reg. No. 38051), and Kenneth L. Stein (Reg. No. 38704), all of Pennie & Edmonds LLP, whose addresses are 1155 Avenue of the Americas, New York, New York 10036, 1667 K Street N.W., Washington, DC 20006 and 3300 Hillview Avenue, Palo Alto, CA 94304, all of Pennie & Edmonds LLP (PTO Customer No. 20583), as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. 3.71, provided that, if any one of these attorneys ceases being affiliated with the law firm of Pennie & Edmonds LLP as partner, counsel, or employee, then the appointment of that attorney and all powers derived therefrom shall terminate on the date such attorney ceases being so affiliated.

An assignment of the entire interest in the above-identified subject application:

- ☐ was recorded on _____ at reel/frame _/____.
- ☒ is submitted herewith for recording. A copy of the executed Assignment is attached hereto as Exhibit A.

Please direct all correspondence for this application to customer no. 20583.

ASSIGNEE:

Thomas Jefferson University

Signature:



Typed Name:

Alan B. Kelly, Esq.

Position/Title:

University Counsel

Address:

1020 Walnut Street

Philadelphia, Pennsylvania 19107

Date:

12/10/01

ASSIGNMENT

WHEREAS, WE, Carlo M. Croce and Frances K. Huebner, ASSIGNORS, citizens of the United States, residing at 1829 Delancy Street, Philadelphia, Pennsylvania 19103, are the inventors of the invention in MUIR-TORRE-LIKE SYNDROME IN FHIT DEFICIENT MICE for which we have executed an application for a Patent of the United States

☐ which is executed on ☐ even date herewith or ☐ _____

☒ which is identified by Pennie & Edmonds LLP docket no. 8666-009

☒ which was filed on April 11, 2001, Application No. 09/832,424

and WHEREAS, THOMAS JEFFERSON UNIVERSITY, a corporation of Pennsylvania having a place of business at 1020 Walnut Street, Philadelphia, Pennsylvania 19107, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date DEC 10, 2001, 2001

Carlo M. Croce
CARLO M. CROCE

L.S.

State of PENNSYLVANIA)
County of Phila.) SS.:

On 12/10/01, 2001, before me, Janyce Lingo, Notary Public, personally appeared CARLO M. CROCE, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Janyce Lingo
Notarial Seal
Janyce Lingo, Notary Public
City of Philadelphia, Philadelphia County
My Commission Expires May 2, 2005

Date Dec. 7, 2001, 2001 Frances K. Huebner L.S.
FRANCES K. HUEBNER

State of PA)
County of Phila) SS.:)

On 12/7/01, 2001, before me, Janyce Lingo, Notary Public,
personally appeared FRANCES K. HUEBNER, personally known to me on the basis of satisfactory evidence to be the
person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same
in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon
behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal
Janyce Lingo

Notarial Seal
Janyce Lingo, Notary Public
City of Philadelphia, Philadelphia County
My Commission Expires May 2, 2005